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Patent

Case No.: 59526US002

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: CONNER, ARLIE R.

Application No.: 10/776155

Group Art Unit: 2873

Filed: February 11, 2004

Examiner: Unknown

Title: RESHAPING LIGHT SOURCE MODULES AND ILLUMINATION SYSTEMS  
USING THE SAMEINFORMATION DISCLOSURE STATEMENTMail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]	
I hereby certify that this correspondence is being:	
<input checked="" type="checkbox"/>	deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
<input type="checkbox"/>	transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.
<u>11-3-05</u> Date	<u>Kim Elfstrom</u> Signed by: Kim Elfstrom

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set

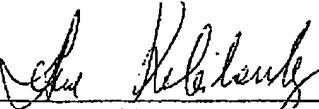
Application No.: 10/776155

Case No.: 59526US002

forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

November 2, 2005  
Date

By:   
Anna A. Kobilansky, Reg. No.: 53,146  
Telephone No.: 651-737-8345

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

JUL 14 2006

Substitute for form 1449A/PTO (revised)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 1 of 2	Application Number	10/776155
	Filing Date	February 11, 2004
	First Named Inventor	Magarill, Simon
	Art Unit	2873
	Examiner Name	
	Attorney Case Number	59526US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 2002/0003636	01-02	Conner	
	A2	US- 2003/147055	08-07-03	Yokoyama	
	A3	US- 2003/0043582	03/03	Chan	
	A4	US- 2005/0094401	05-05	Magarill	
	A5	US- 2005/0174768	08-05	Conner	
	A6	US- 2005/0174771	08-05	Conner	
	A7	US- 2005/0174775	08-05	Conner	
	A8	US- 2005/0134811	06-05	Magarill	
	A9	US- 1,428,662	09-22	Walter	
	A10	US- 1,451,893	04-23	Walter	
	A11	US- 2,587,956	03-52	Roy	
	A12	US- 5,398,086	03-95	Nakano	
	A13	US- 5,557,353	09-96	Stahl	
	A14	US- 5,839,823	11-24-98	Hou	
	A15	US- 5,900,981	05-04-99	Oren	
	A16	US- 5,969,872	10-19-99	Oren	
	A17	US- 5,997,150	12-07-99	Anderson	
	A18	US- 6,038,005	03-00	Handschy	
	A19	US- 6,102,552	08-00	Tullis	
	A20	US- 6,201,629	03-01	McClelland	
	A21	US- 6,280,058	08-01	Horigome	
	A22	US- 6,469,755	10-22-02	Adachi	
	A23	US- 6,491,443	12-10-02	Serizawa	
	A24	US- 6,639,572	10-03	Little	
	A25	US- 6,788,471	09-04	Wagner	

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Information Disclosure Statement)

Substitute for form 1449A/PTO (revised)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 2 of 2	Application Number	10/776155	<b>JUL 14 2006</b>
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Foreign Patent Documents						
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Ctry. Code	Number-KindCode (if known)			
	B1	WO	2002/065184	08-22-02		
	B2	WO	2004/043076	5-21-04		
	B3	EP	0 795 771	09-17-97		
	B4	EP	0 083 527	07-13-83		
	B5	EP	1 363 460	11-19-03		
	B6	EP	1 347 653	09-24-03		
	B7	EP	0 493 800	07-08-92		
	B8					

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Light Emitting Diodes 2003, October 15-17, 2002, "Optical Design for LED Based Devices" Juan Manuel Tejjido, Sony International (Europe) GmbH, Sony Corporate Laboratories Europe	
	C2		
	C3		

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Information Disclosure Statement)

Form: First Named Inventor: CONNER ARIEL R.  
Applicant:

Form: First Named Inventor: CONNER, ARIEL R.  
Applicant:

Case No.: 56528US002

File No: Application No.: 10/778153

Title: RESHAPING LIGHT SOURCE MODULES AND ILLUMINATION  
SYSTEMS USING THE SAME

Enclosures:

Pages: Information Disclosure Statement, Certificate of Mailing,  
Form PTO-1449, and copies of any cited references as  
required

Amount charged to Deposit Account: \$

Attorney (Initials): AAK/aa

Date: 11-3-05

Draft In

Attorney

